Al²: Al Safety and Robustness with Abstract Interpretation

Martin Vechev

DeepCode.ai and ETH Zurich





How good (robust) is your neural net?

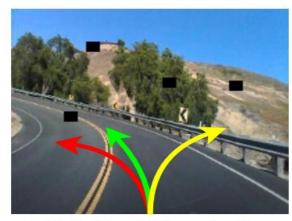
Neural networks are *not* robust to input perturbations (e.g., image rotation / change of lighting)



DRV_C1: right



DRV_C2: right



DRV_C3: right

Misclassifications in neural networks deployed in self-driving cars [1] In each picture one of the 3 networks makes a mistake...

Wanted: Automated and scalable analysis to certify realistic neural nets

Potential Benefits:

- Certify large cyber-physical systems that use the NN
- Prove robustness of NN (beyond just finding adversarial examples)
- Learn interpretable specs of NN
- Compare NNs
- Train NNs

Talk based on

Al²: Safety and Robustness Certification of Neural Networks with Abstract Interpretation

IEEE Oakland Security & Privacy, 2018

(Gehr, Mirman, Drachsler-Cohen, Tsankov, Chaudhuri, V)

Differentiable Abstract Interpretation for Provably Robust Neural Networks

ACM ICML 2018

(Mirman, Gehr, V)

Problem Statement and Challenges

Given

- a neural network *N*
- a property over inputs ϕ
- a property over outputs ψ

check whether $\forall i \in I$. $i \models \varphi \implies N(i) \models \psi$ holds

Challenges:

- The property φ over inputs usually captures an **unbounded set of inputs**
- Existing symbolic solutions do not scale to large networks (e.g. conv nets)

To scale:

- Need to under- or over- approximate

High Level Insight: AI for AI

Deep Neural Nets:

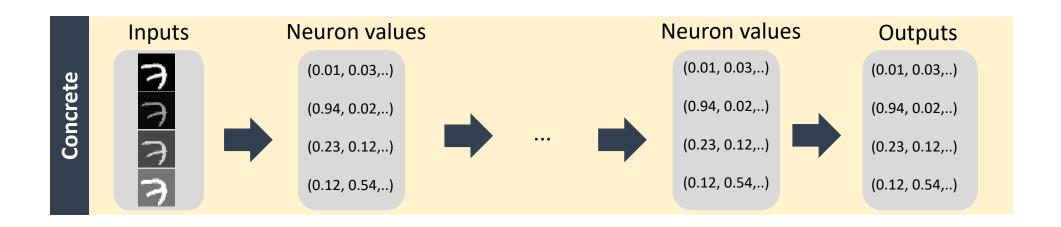
Affine transforms + Restricted non-linearity

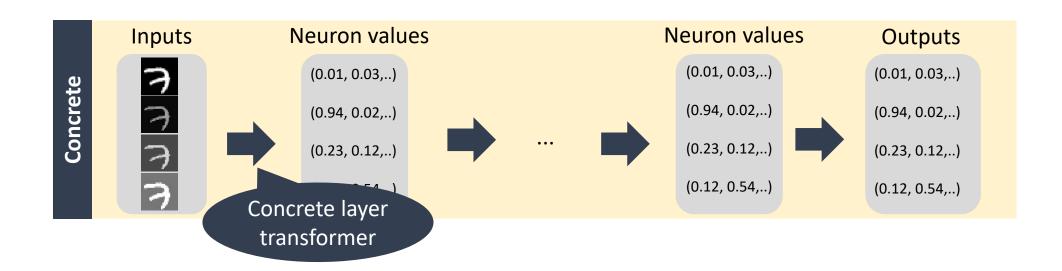


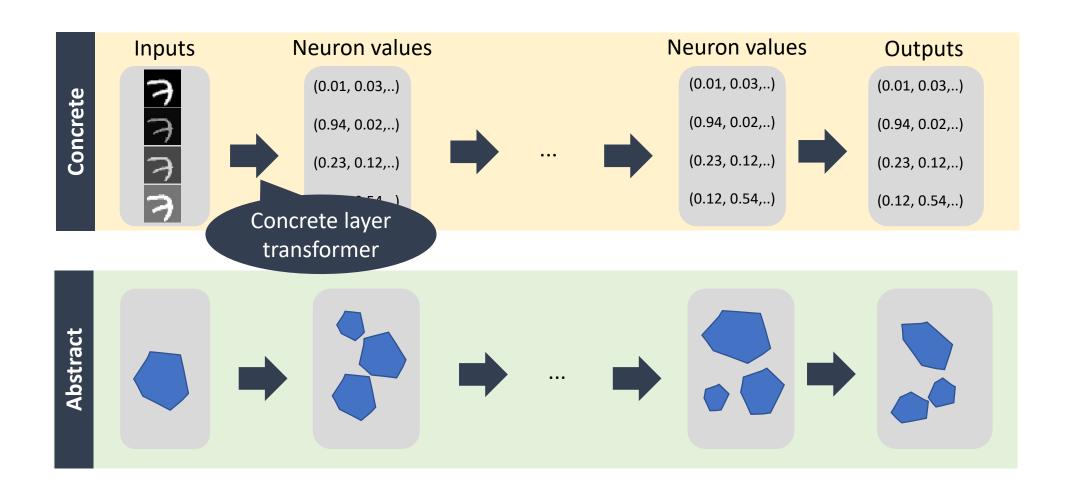


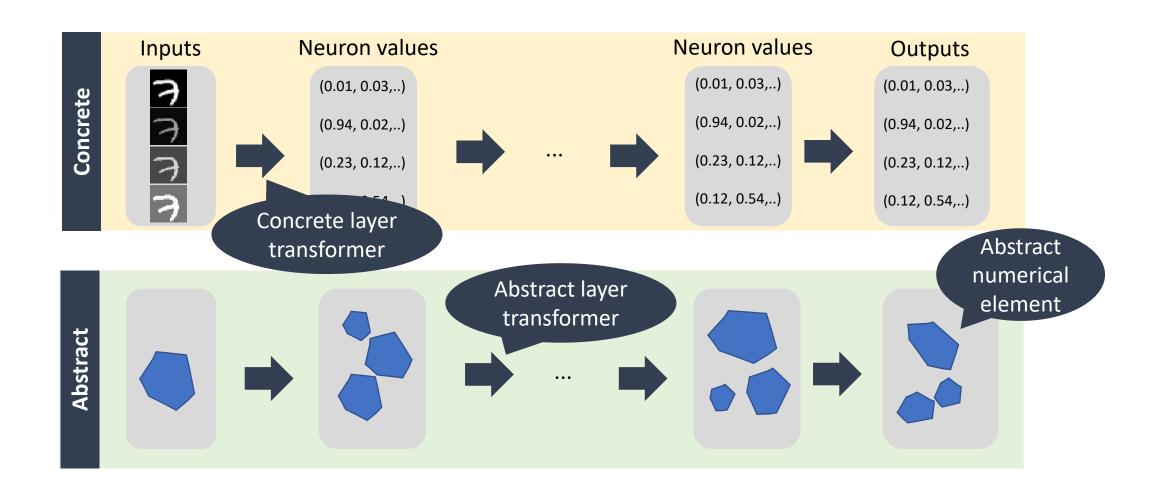
Abstract Interpretation:

Scalable and Precise Numerical Domains









Zonotope Abstract Domain

Ghorbal, Goubault, Putot, CAV'09

Exact for linear operations

Each variable (here, abstract neuron) captured in an affine form

Allows relating variables (in limited ways) through parameters (unlike Box)

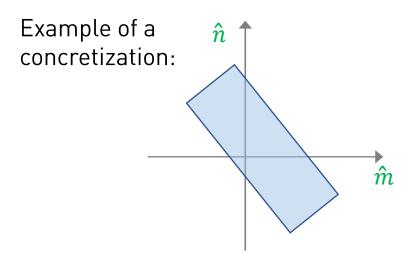
Zonotope Abstract Domain

If we have two (concrete) neurons n and m, then the abstract neurons will look like:

$$\hat{n} = a_0^n + \sum_{i=1}^k a_i^n \epsilon_i$$

$$\hat{m} = a_0^m + \sum_{i=1}^k a_i^m \epsilon_i$$

The **meaning** (γ) is a polytope centered around a_0^n and a_0^m



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 ϵ_i : noise terms ranging [-1,1] shared between abstract neurons

 a_i^n : real number that controls magnitude of noise

Closed under affine transforms, e.g., $\hat{n} + \hat{m}$

Not closed under joins and meets, e.g.,: $\hat{n} \sqcup \hat{m}$, $\hat{n} \geq \hat{m}$

The **meaning** (γ) is a polytope centered around $a_0^{\ n}$ and $a_0^{\ m}$

Meaning of a zonotope

Centered means there is a center point C, where from any point X in the polytope, we can obtain a flipped point Y of X, where Y = 2C-X, and Y is in the polytope and X and Y are equal distance from C.

For instance, ψ below is centered around C = (1,0).

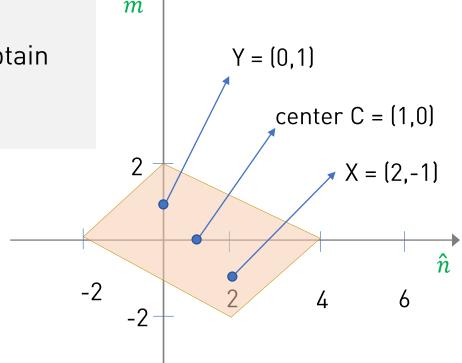
For example, a point X = (2,-1)a point Y = 2C - X = (0,1)

can be flipped to obtain

$$\hat{n} = 1 - 2\epsilon_1 + \epsilon_2$$

$$\hat{m} = 0 + \epsilon_1 + \epsilon_2$$

 $\gamma (\psi)$ is:



Zonotope Operations for Neural Networks

Multiplication by a constant real-valued constant C:

$$(a_0^n + \sum_{i=1}^k a_i^n \epsilon_i) * C = (C * a_0^n + \sum_{i=1}^k C * a_i^n \epsilon_i)$$

Adding two variables is done component-wise (abstract transformer is exact):

$$(a_0^n + \sum_{i=1}^k a_i^n \epsilon_i) + (a_0^m + \sum_{i=1}^k a_i^m \epsilon_i) = (a_0^n + a_0^m) + \sum_{i=1}^k (a_i^n + a_i^m) * \epsilon_i$$

^{*}No need for multiplication of zonotopes

Zonotope Operations for Neural Networks: join \(\square\)

$$\hat{m} = 3 + \epsilon_1 + 2 \epsilon_2$$

$$\hat{m} = 0 + \epsilon_1 + \epsilon_2$$

 \hat{n} - 1 2c

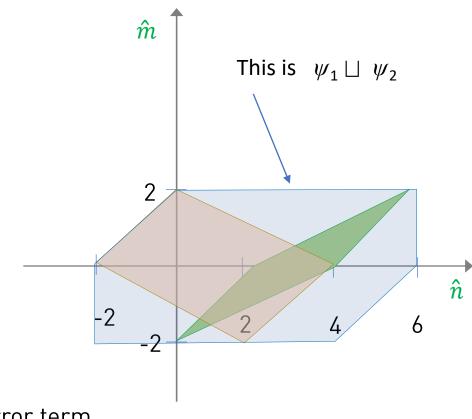
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=

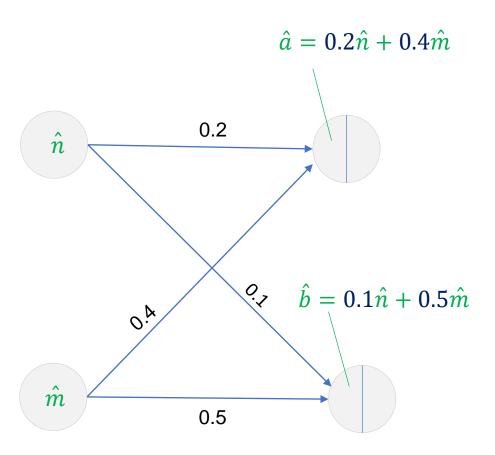
$$\hat{n} = 2 + \epsilon_2 + 3\epsilon_u$$

$$\hat{m} = 0 + \epsilon_1 + \epsilon_2$$



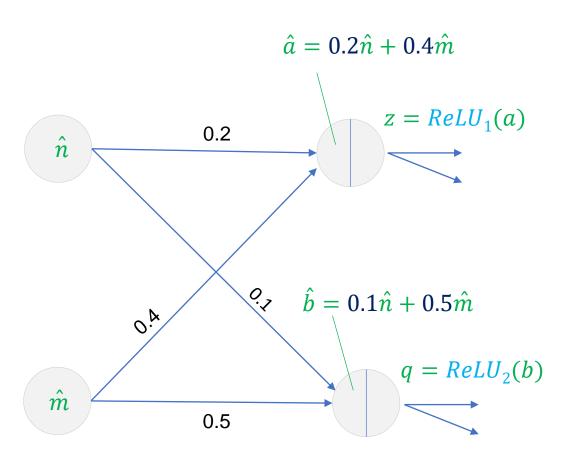
New error term is introduced

Lets see how to apply the operations to analyze networks on a simple 2 layer feed-forward network



Step I: compute effect of affine transform:

Affine
$$\equiv$$
 $\hat{a} = 0.2\hat{n} + 0.4\hat{m}$ \wedge $\hat{b} = 0.1\hat{n} + 0.5\hat{m}$

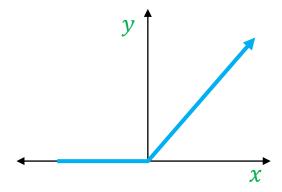


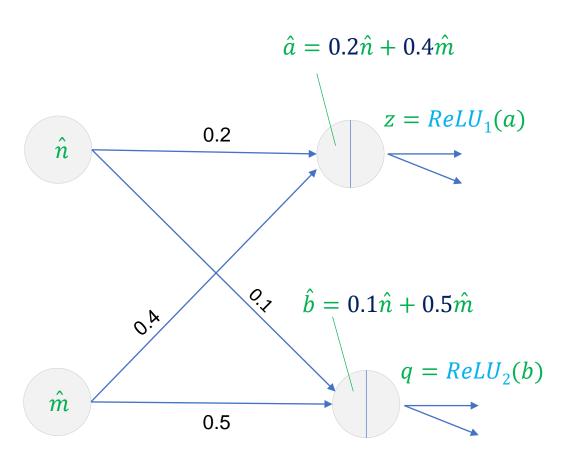
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Step II: compute effect of *ReLU*:

Activation function: y = ReLU(x) = max(0, x)





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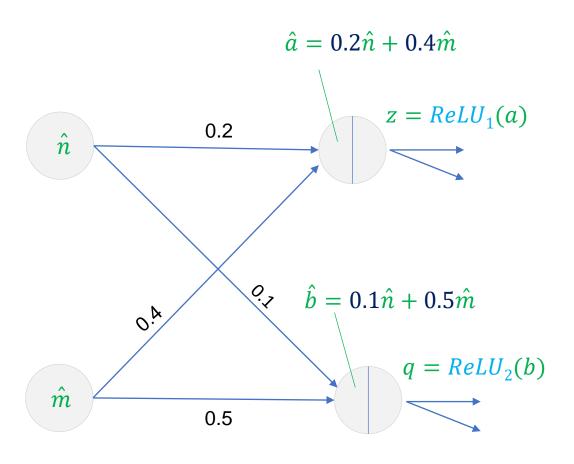
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Step II: compute effect of *ReLU*:

$$f_{ReLU}^{\#} = ReLU_2^{\#}(b) \circ ReLU_1^{\#}(a) \text{ (Affine)}$$

$$ReLU_i^{\#}(x_i)(\psi) = (\psi \sqcap \{x_i \ge 0\}) \sqcup \psi_0$$

$$\psi_0 = \begin{cases} \llbracket x_i = 0 \rrbracket (\psi) & \text{if } (\psi \sqcap \{x_i < 0\}) \ne \bot \\ \text{otherwise} \end{cases}$$



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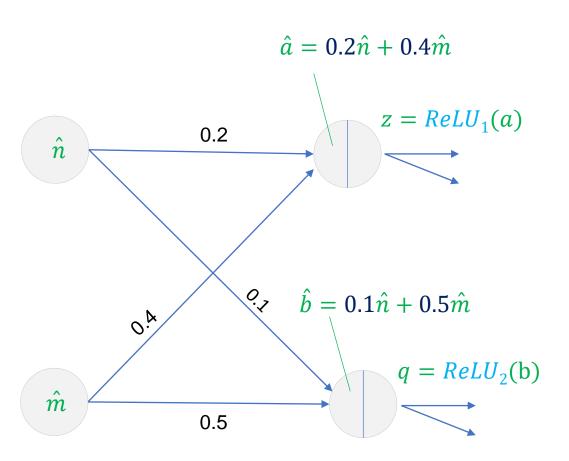
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Step II: Instead, design custom ReLU transformer



Optimal, precise, scales, can run on GPU:

$$\hat{z} = ReLU_1^{\#}(\hat{a})$$

$$\hat{q} = ReLU_2^{\#}(\hat{b})$$

In our follow up works to Al² we have designed custom transformers, making it currently the most scalable system for analysis of deep learning

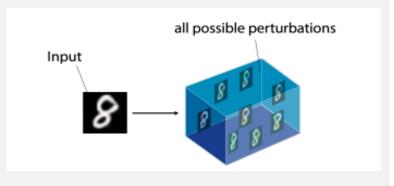
Use case of AI²: prove absence of adversarial attacks

Much recent work attacks: Goodfellow et al. (2014); Madry et al. (2018); Evtimov et al., (2017); Athalye & Sutskever (2017); Papernot et al. (2017); Xiao et al. (2018); Carlini & Wagner (2017);

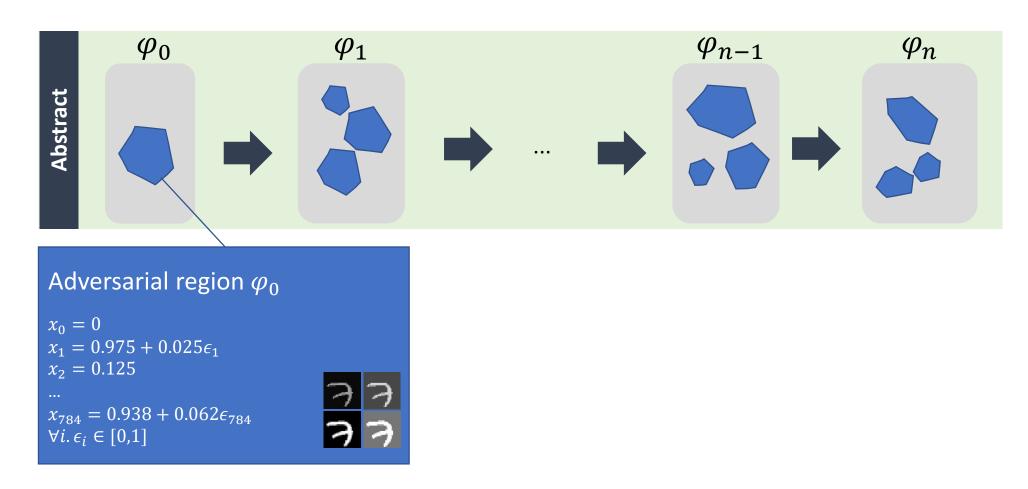
Step 1: Define adversarial region around x based on the perturbation of interest (brightness, L_{∞} , rotations, etc). For example:

L_{$$\infty$$} ball: Ball _{ε} $(x) = \{y \mid ||x - y||_{\infty} < \varepsilon\}$

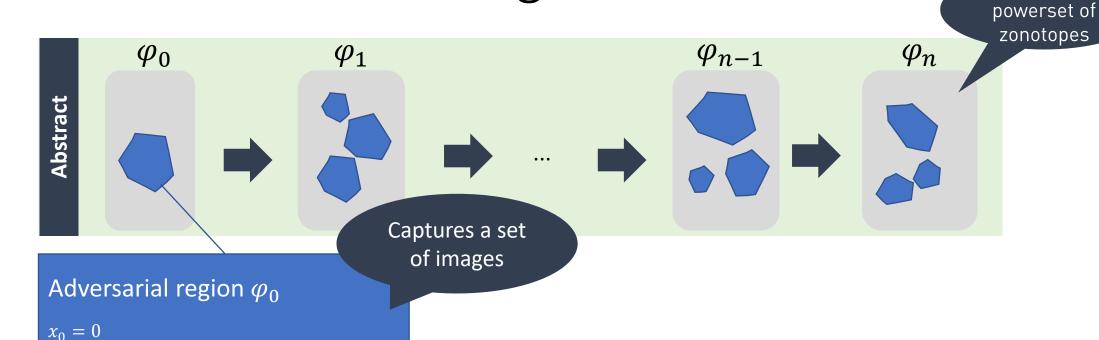
Step 2: Attack tries to find image y in region where $NN(x) \neq NN(y)$



Our goal: prove Step 2 never succeeds



some pixels range over an interval now, but not all



bounded

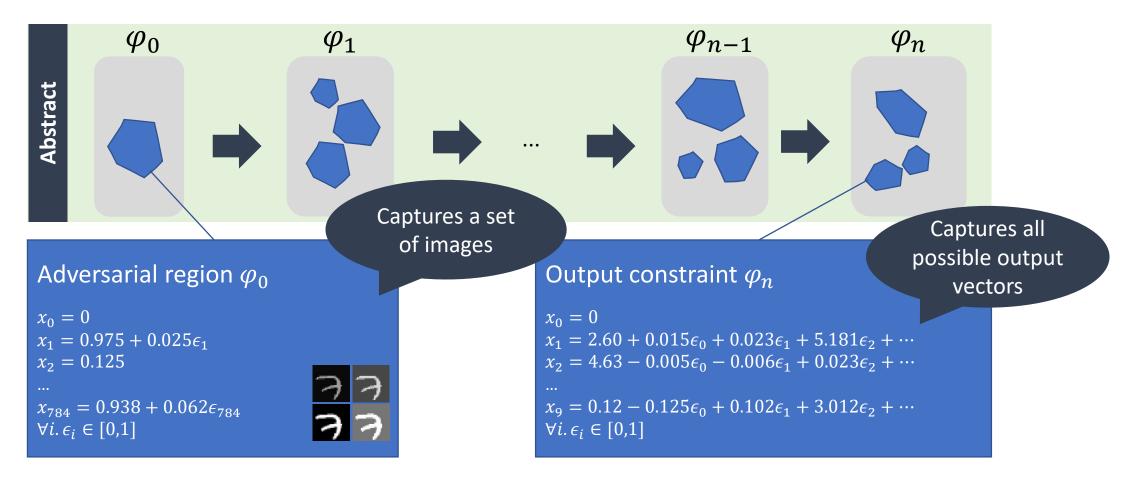
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 $x_1 = 0.975 + 0.025\epsilon_1$

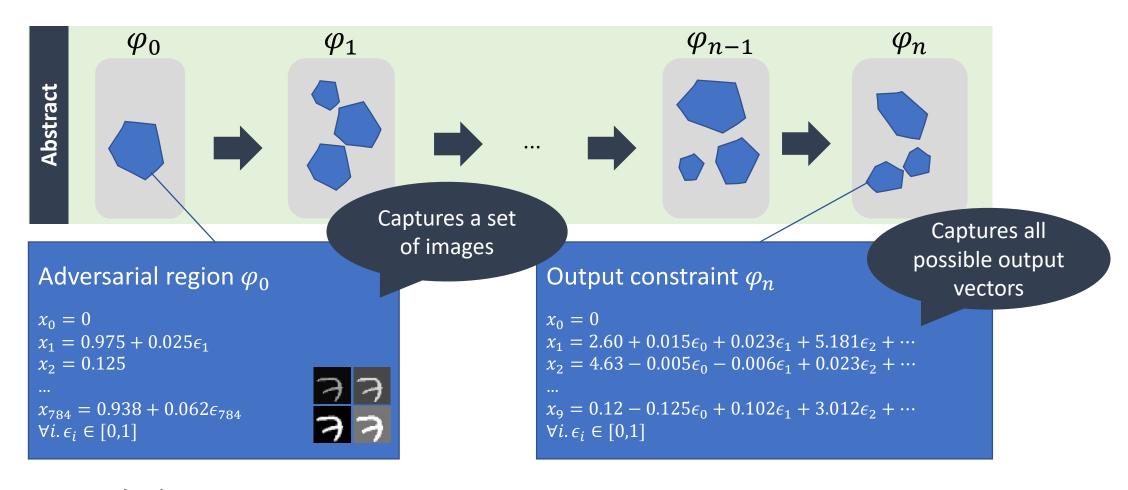
 $x_{784} = 0.938 + 0.062\epsilon_{784}$

 $x_2 = 0.125$

 $\forall i. \epsilon_i \in [0,1]$



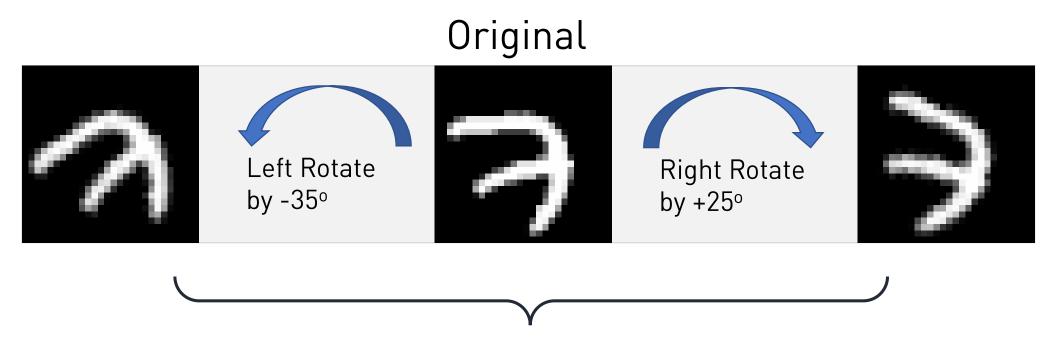
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some pixels range over an interval now, but not all

Label *i* is possible iff: $\varphi_n \sqcap \{\forall j. x_i \geq x_j\} \neq \bot$

More complex perturbation: rotations



First time we are able to prove rotations: We can prove network classifies any image in this adversarial region to 7

 L_{∞} and brightness adversarial regions can be exactly captured by boxes, but boxes cannot capture rotations exactly. To verify: use refinement.

Analysis can benefit training of networks

Idea: define abstract loss to include AI, apply automatic differentiation on AI

Training Method	Accuracy %	Attack Success %	Certified %
Baseline	98.4	2.4	2.8
Madry et al.	98.8	1.6	11.2
DiffAl (our method)	99.0	2.8	96.4

Convolutional Network with 124,000 neurons, L_{∞} with $\varepsilon = 0.1$

Differentiable Abstract Interpretation for Provably Robust Neural Networks, ICML 2018 Matthew Mirman, Timon Gehr, M.V.

Differentiable AI training scales better than all prior work

System		Model	#Neurons	# Weights	Train 1 Epoch (s)
	() ()	ConvSuper	\sim 124k	$\sim\!16$ mill	74
DiffAl	(Mirman, Gehr, V ICML 2018)	Resnet18	\sim 500k	$\sim\!\!15$ mill	93
	101112 2010)	ConvHuge	\sim 500k	k \sim 65mill	142
Wong o	et al. (2018)	Large	∼62k	\sim 16mill \sim 15mill \sim 65mill	466
vvolig e	et al. (2016)	Resnet	\sim 107k		1685
Wong &	& Kolter (2018)	MNIST Conv	\sim 4k	\sim 10k	180
Raghun	nathan et al. (2018)	MNIST 2 layer FFNN	\sim 1k	\sim 650k	-
Dvijoth	am et al. (2018)	Convnets	~21k	\sim 650k	-

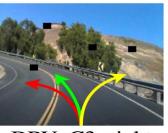
- Numbers as reported by prior work and not rerun on our hardware
- ▶ When hidden unit numbers and weight numbers were included, they were approximated using the network specifications in the paper with over-approximations where the specifications were not complete as in Dvijotham et al. (2018); Raghunathan et al. (2018)

Summary

Certification of neural nets is important







DRV_C1: right

DRV_C2: right

DRV_C3: right

Key idea: approximate nets via Al



The most scalable analyzer for neural nets



Applications: training, explaining

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More at: <u>safeai.ethz.ch</u>